

IOWA UTILITIES BOARD

TO: Electronic Filing System Stakeholders

FROM: The Iowa Utilities Board Electronic Filing Team

DATE: January 15, 2010

SUBJECT: Document Titles in the Electronic Filing System (EFS)

Introduction

This report is intended to provide guidance for filers in creating document titles that will be effective in sorting and retrieving information and documents from the Board's Electronic Filing System (EFS) and the internal document management system Universal Content Manager (UCM). The tables in the following pages provide a recommended form for the document title for each document type along with one or more examples.

Document Titles in General

Document titles are particularly important in dockets where there are many documents or where there are revisions or documents filed at different times or by different filers or parties. As year two of EFS approaches, it seems like a good time to provide filers with additional information about the Board's preferences for document titles.

The Electronic Filing rules (199 IAC 14) require that the confidential version of a document have a document title that ends with the word confidential and that the public version of a document with a confidential version have a document title that ends with the word public. Otherwise, there is nothing specific in the rules about document titles. EFS documentation provides guidance for titles of documents and filings in the [Standards for Electronic Information](#) and in the [Guest User Guide](#), the [Registered User Guide](#), and the [FAQs](#) (Frequently Asked Questions).

The document searches in both EFS and UCM allow the searcher to select several criteria to narrow the search for a document or set of documents. Criteria include docket number, submitting party, date filed, and document type, among others. By selecting a particular set of criteria, it is possible to focus on a particular document.

Each document in EFS (and UCM) has one and only one document type associated with it. There are 38 document types currently in EFS. Document types are largely based on filing practices from the IUB Records Center in the paper environment. See [Attachment A](#) for EFS document types.

Documents are entered into EFS by making a filing. Each filing has a filing title that describes the purposes of the filing and the documents within it. Each filing is made in a docket that has a docket number, a docket title and a docket description that contain information about the proceeding. The docket, filing, and document titles and descriptions help EFS users sort and retrieve specific documents or information from EFS.

If filers wish to use a numbering system as a prefix for document titles in large filings, there are potential sorting issues because of numbers being treated as text. These sorting issues with numbered documents can be overcome by using leading zeroes in the numbers. For example, if the total number of documents is more than 100 and less than 1000, each document number should have three digits: 001, 002, 010, 011, 100, 200. The documents will then sort correctly.

[Attachment B](#) contains examples of numbered documents filed in EFS during 2009.

Some document titles in filings made in 2009 used the computer file name, including the file extension, such as report.pdf or workpapers.xls. This is unnecessary and confusing and should be avoided, unless it is deemed appropriate in document titles for workpapers.

Testimony

The Board prefers that testimony documents have titles in the following form: (Witness Last Name) (Type of Testimony) Testimony. For example,

Smith Direct Testimony
Doe Surrebuttal Testimony.

The table below includes several actual document titles used by EFS filers for testimony:

Examples of Document Titles for Testimony in EFS
15. Direct Testimony of David A. Kull
188. 26.5(5)e (23) - Testimony and Exhibits
Abbott Surrebuttal Testimony
Bax Testimony-public version
Corrected Direct Testimony-Fuhrman-Confidential – CONF
Corrected Rebuttal Testimony of William E. Avera
Rebuttal Testimony of Enrique Bacalao

IUB provided some guidance about document titles for testimony, including that the document title should include at least the last name of the witness. Here are the examples provided in the user guide and standards:

Direct testimony of J. N. Doe
Supplemental Testimony of A.B. Smith
Supplemental Testimony of J.N. Doe

User Guides and standards will be revised to be consistent with the Board's preference.

Exhibits

The Board prefers that exhibit documents have titles in the following form: (Witness Last Name) Exhibit (Exhibit Number) (Topic). For example,

Smith Exhibit 1, Cost of Capital
Doe Exhibits 3 and 4.

IUB provided some guidance about document titles for exhibits, including that the document title should indicate the witness. At the time the team provided the guidance, it was unclear whether exhibits would be filed one at a time or as a collective document by witness. Here are the examples provided in the user guide and standards:

Exhibit of J.N. Doe
Exhibit of J.N. Doe – Confidential
Exhibit of J.N. Doe – Public

User Guides and standards will be revised to be consistent with the Board's preference.

Sample exhibit names from a recent hearing transcript include the following.

Document Title from Transcript	Preferred
TLA-1	Aller Exhibit TLA-1
TLA-2	Aller Exhibit TLA-2
1	Bauer Exhibit 1
2	Bauer Exhibit 2
ECM-1	Madsen Exhibit ECM-1
CAH-1	Hampsher Exhibit CAH-1
106	OCA Exhibit 106
107	OCA Exhibit 107

The table below includes several actual document titles used by EFS filers for exhibits:

Examples of Document Titles for Exhibits in EFS
Corrected Exh A
Corrected Exhibit B (1 of 3)
10. Jones Exhibit __ [CWJ-3] Liberty Avenue Phase 2
2nd Revised Exhibit H
Additional Exhibit to Rebuttal Testimony
Amendment No. 1 - Exhibit C (2nd Revised Page)
Exhibit CAK-5b

Workpapers

For consistency, the Board prefers that workpapers have titles in the following form: (Witness Last Name) (Company) Workpaper (Topic). For example, Smith Workpapers Cost of Capital or Doe Workpapers -- Confidential.

IUB guidance did not include specific examples for document titles for workpapers. User Guides and standards will be revised to be consistent with the Board's preference.

The table below includes several actual document titles used by EFS filers for workpapers:

Examples of Document Titles for Workpapers in EFS
100 Williams Workpapers - Excel file Revenue-Other Revenue INTERIM
Iowa Coalition - Brubaker Workpaper-Exhibit (CAH-1) Schedule B-9.pdf
Gorman Workpapers MPG Exhibit 25.xls
MEC August 2009 PGA Workpapers CONFIDENTIAL – CONF
Workpaper of Condon-MEC-1 Schs A-C (excel version)

Recommended Document Titles by Document Type

Document Type	Form of Document Title	Examples of Document Title
Accounting Information	Accounting Files (Description)	Accounting Files Schedule B-39
		Accounting Files -- Interim - Pro Forma Adjustment to Reflect Significant Load Changes and Rate Selection Changes (formulas)
		Accounting Files -- Final - Pro Forma Adjustment to Reflect Significant Load Changes and Rate Selection Changes (formulas)
Amendments	Amendment (Number) – (Description)	Amendment No. 1 - Petition
		Amendment No. 5 - List of Responses to Second Deficiencies
		Request for Withdrawal of Filing from October 23, 2009
Appearances	(Company or Party) Appearance (Description)	LH Telecom, Inc. - Appearance In Support of Verified Statement
		Appearance of Counsel - L. Whipple
		Application of Out of State Attorney for Permission to Appear
Briefs	(Company of Party) (Type of Brief) Brief (Public/Confidential)	AT&T's Closing Brief - Public
		Initial Brief - Public
		Post Hearing Reply Brief
		Prehearing Brief
Compliances with Board Rules	(Company or Party) (Rule) (Description) (Compliance Filing)	199 I.A.C. 31.3(4) Affiliate Records Compliance Filing
		2008 Renewable Energy Program Annual Report
		Annual Peak Alert Notice
		Annual Sworn Statement of Eligibility
		Black Hills 2009 Cost Allocation Manual
		MEC 35.9(2) Class Load Data 2008
		Notice of Service Area Revision of Certificate of Franchise Authority C-0002 Revision
Construction Permits	(Company or Party) Permit Description	U.S. Army Corps of Engineers Section 404 Permit

Document Type	Form of Document Title	Examples of Document Title
Construction Report	(Description) Construction	Amendment No. 2 Notice of Franchised Line Construction Completed
		Construction Progress Report #3
		Notice of Completion of Construction
		Reportable Change to Pipeline Under Permit
		Weekly Construction Report
Correspondence	(Company or Party) Cover Letter (Topic)	Allamakee-Clayton Electric Cooperative, Inc. – Collection Tariff Cover Letter 10-14-09
	(Company or Party) Transmittal Letter (Topic)	13-55-3 Cover Letter
		2008 D.O.T. Report Cover Letter
		Cover Letter – Late Payment Charge Change
		Cover Letter for Petition to Intervene, Request to Appear Pro Hac Vice, and Appearance
		VCA Cover Letter
		Transmittal Letter Requesting Approval of Rate Notices
		Supplement to Second Deficiency Response - Cover Letter
		Quality of Service Report Cover Letter
		Cover Letter -- Supplemental Testimony
		Cover Letter re Response to Deficiencies and Field Inspection
		Cover Letter for Initial Wireline Interconnection Agreement
		Cover Letter 2008 Flexible Rates Annual Report
		Cover Letter & Interpretation - Supplemental Filing (Revision)
		Response to Inspection Report (Circuit T-4137A)
	Response to Inspection Report (Location or Circuit)	
	(Year) Customer Contribution Fund Report	2009 Customer Contribution Fund Report
	(Year) (Company) Board of Directors	2009-10 Calhoun County REC Board of Directors
		Officers and Directors for 2009-2010
	Acknowledgement of Withdrawal Letter	Acknowledgement of Withdrawal Letter

Document Type	Form of Document Title	Examples of Document Title
	Acknowledgement of Receipt of Objection Letter (from Person)	Acknowledgement of Receipt of Objection Letter
		Acknowledgement of Objection Letter from Brendan Quann and Greg Rehmke
	(Company) Approval Letter Regarding (Topic)	Alta Municipal - Approval Letter Regarding Local Exchange Service Tariff
	Deficiency Letter	Amendment No 3 Deficiency Letter
		Deficiency Letter #2
	Letter Regarding Confidential Treatment	Letter Regarding Confidential Treatment
	Eligibility Approval Letter	Eligibility Approval Letter
	Eligibility Expiration Letter	Eligibility Expiration Letter
	Field Inspection Report Response	Field Inspection Report Response
	Gas Inspection Response (Location)	Gas Inspection Response, Des Moines
	Withdrawal of Waiver Request	Withdrawal of Waiver Request
	Withdrawal of Application	Withdrawal of Application
	Notice of Settlement Conference	Notice of Settlement Conference
Customer Complaints	(Complaint Type)	Billing
		Billing, Poor Customer Service, Payment Arrangement
Evidence	(Description)	159. 26.5(5)b(2) - Balance Sheets
		Vol I - Section 2.1 – Economic Evaluation Public
		Vol I - Section 6.1 – Feasible Option
Exhibits	(Witness Last Name), Exhibit (Number), (Topic) (Public or Confidential)	Smith, Exhibit 10, Rate of Return
		Jones Exhibit CWJ-3 -- Liberty Avenue Phase 2
		Williams Exhibit DRW-7 -- Tariffs
		Smith Corrected Exhibit C-2
		Doe Direct Exhibits-MEC-1 Schs_A-C (Pensions, OPEB_& VPP)
		King Exhibit CAK-3 -- Public

Exhibits, Hearing	(Witness Name or Initials), (Company or Party) Hearing Exhibit (Number), (Topic)	Jones Hearing Exhibit 101, Jones, Cost of Capital
		OCA Hearing Exhibit 107, Part 2 of 2
		King Hearing Exhibit No. 201
Informational Meeting	(Location) Informational Meeting Notice	Buchanan County Informational Meeting Notice
	Landowner Notification	Landowner Notification
	Notification Corridor Map	Notification Corridor Map
Initiating Filing or Document	An initiating filing or document is the first document in a docket. It will be designated as an initiating filing or document and should follow the document title recommendations for its underlying document type – testimony, motion, etc.	
Inspection Report	(Circuit or Area) (Electric or Gas) (Office or Field) Inspection Report (Response) (Date)	2009 Electric Inspection Response
		90-6-1713 Field Inspection
		Allamakee-Clayton Electric Cooperative - Office Inspection
		DUNCJ502 - Report
		Electrical Inspection Report - Circuit ID No. AVIS5986
		Guttenberg Field Inspection Report Response 10-09
		IPL - Correspondence and Electrical Inspection Report - ROLFW692
		Response to Natural Gas Inspection Report - Burlington District (Mount Pleasant Lateral #2)
Interrogatories (none filed as of November 4, 2009)		
Interventions	(Company or Party) Petition to Intervene	LH Telecom, Inc. - Petition to Intervene
		Petition to Intervene and Statement of Position
		Withdrawal of Intervention
Maps		Guthrie Center Exchange Boundary Map

		Yale Revised Exchange Boundary Map
		Final Pipeline Map
Memos (IUB staff documents)	(Company) (Memo Type) (Description)	Allamakee-Clayton - Gray Memo Regarding Electric Tariff Revision
		Gold memo -- Tariff and Certificate
		Gold memo re Exchange Boundary Revision
		Gray Memo - Eligibility Approval of 16.0 MW
Miscellaneous Permits (none filed as of November 4, 2009)		
Motions, Applications, Pleadings	Affidavit in Support of Request for Confidential Treatment	Affidavit in Support of Request for Confidential Treatment
	Application for Consideration of Limited Reorganization	Application for Consideration of Limited Reorganization
	Application for Designation as an Eligible Telecommunications Carrier	Application for Designation as an Eligible Telecommunications Carrier
	Application for Rehearing or Reconsideration and for Stay of Final Order Pending Application	Application for Rehearing or Reconsideration and for Stay of Final Order Pending Application
	(Company) Application for Authority to Provide Competitive Local Exchange Service	Entelegent - Application for Authority to Provide Competitive Local Exchange Service
	(Company or Party) Resistance to Motion to Compel Production of Documents	Kalona - Resistance to Motion to Compel Production of Documents
	Motion for Additional Time to File Testimony	Motion for Additional Time to File Testimony
	Motion for Admission Pro Hac Vice	Motion for Admission Pro Hac Vice
	Motion for Confidential Treatment of Statements in Motion for Continuance of Testimony	Motion for Confidential Treatment of Statements in Motion for Continuance of Testimony
	Motion for Filing Out of Time and Reply to Request for Clarification	Motion for Filing Out of Time and Reply to Request for Clarification
	Motion to Accept Late-Filed Testimony	Motion to Accept Late-Filed Testimony
	Motion to Amend Certificate	Motion to Amend Certificate
	Motion to Amend Procedural Schedule	Motion to Amend Procedural Schedule
	Motion to Bifurcate	Motion to Bifurcate
	Motion to Cancel Hearing and Related Deadlines	Motion to Cancel Hearing and Related Deadlines

	Motion to Compel Discovery Responses	Motion to Compel Discovery Responses
	Motion to Dismiss Case and to Reject Tariff	Motion to Dismiss Case and to Reject Tariff
	Motion to Strike Exhibit A Attached to Responsive Post-Hearing Brief	Motion to Strike Exhibit A Attached to Responsive Post-Hearing Brief
	Objection to Application for Rehearing	Objection to Application for Rehearing
	Objection to the Admission of the Prepared Testimony and Exhibits	Objection to the Admission of the Prepared Testimony and Exhibits
	Opposition to Motion to Vacate Hearing	Opposition to Motion to Vacate Hearing
	Petition for Addendum to Waiver of 199 IAC 20.9(2)2"b"	Petition for Addendum to Waiver of 199 IAC 20.9(2)2"b"
	Reply to Objection to Motion for Extension of Time	Reply to Objection to Motion for Extension of Time
	Request for Confidential Treatment	Request for Confidential Treatment
	Request for Waivers of 199 IAC 22.3(1), 199 IAC 16.5(2), and 199 IAC 18.2	Request for Waivers of 199 IAC 22.3(1), 199 IAC 16.5(2), and 199 IAC 18.2
	Resistance to Motion	Resistance to Motion for Reconsideration of Order Striking Supplemental Owens Testimony
	Responses to Motions to Strike and Objections to Tariff Revisions	Responses to Motions to Strike and Objections to Tariff Revisions
	Withdrawal of Application	Withdrawal of Application
Objections	(Company or Party) Objection (Person or Party) (Topic)	Conditional Objection
		ILEC Group's Preliminary Objections to Refund Calculations and Request for Procedural Conference
		Objection
		Objection and Request for Docketing
		Objection of John R. Doe
		Objection to the Granting of Eminent Domain
		Objections to the Statements in Lieu of Testimony
Operating Permits	(Description)	Operations, Maintenance, and Emergency Procedures Manual
Orders, Board (IUB)	(Order (Topic)	Order Granting Requests for Permission to Appear
	Certificate	Certificate
	Amended Certificate No. 0001	Amended Certificate No. 0001

	Declaratory Order	Declaratory Order
	Final Decision and Order	Final Decision and Order
	Notice of Cancellation of Certificate and Rejection of Tariff	Notice of Cancellation of Certificate and Rejection of Tariff
	Order Accepting (Description)	Order Accepting Report
		Order Accepting Revised Direct Testimony
	Order Affirming (Description)	Order Affirming Proposed Decision and Allowing Comments
	Order Amending (Description)	Order Amending Procedural Schedule
	Order Approving (Description)	Order Approving Application and Issuing Certificate to Provide Large Volume Service
	Order Assigning Docket (Description)	Order Assigning Docket to Presiding Officer
	Order Canceling (Description)	Order Canceling Hearing and Requiring Filing
		Order Canceling Certificate, Withdrawing Tariff, and Issuing Order in Lieu of Certificate
	Order Closing Dockets	Order Closing Dockets
	Order Commencing Rule Making	Order Commencing Rule Making
	Order Consolidating Dockets	Order Consolidating Dockets and Assigning to Presiding Officer
		Order Consolidating Dockets for Electronic Filing
	Order Denying (Description)	Order Denying Application for Rehearing
	Order Docketing (Description)	Order Docketing Complaint and Setting Procedural Schedule
	Order Establishing Procedural Schedule	Order Establishing Procedural Schedule
		Order Establishing Procedural Schedule, Shortening Response Times, Requiring Additional Information, and Granting Intervention
	Order Granting (Description)	Order Granting Addendum to Waiver and Requiring Quarterly Reports
		Order Granting Application and Issuing Certificate
		Order Granting Extension of Franchise
	Order Modifying (Description)	Order Modifying Procedural Schedule
		Order Modifying Proposed Pipeline Route and Conditional Grant of Permit
	Order Regarding (Description)	Order Regarding Prepared Testimony

	Order Requiring (Description)	Order Requiring Filing and Clarifying Exhibits
		Order Requiring Identification of Factual Disputes
	Order Scheduling (Description)	Order Scheduling Additional Hearing
	Order Setting (Description)	Order Setting Filing Date
	Pipeline Permit	Pipeline Permit
	Procedural Order and Notice of Hearing	Procedural Order and Notice of Hearing
	Proposed Decision (Description)	Proposed Decision
		Proposed Decision Finding Violations, Assessing Civil Penalties, and Prohibiting All Carriers from Serving or Billing for Respondent
Proofs of Notification	(Description) Proof of Publication (of What) (Where)	Proof of Publication of Notice of Informational Meeting, Winnebago County
		Amendment No. 5 - Proof of Publication of Notice of Franchise Petition
		Affidavit of Publication
		Amendment No. 1 Affidavit of Publication of Official Notice
		Amendment No. 2 - Pleading re Proof of Publication of Notice of Informational Meeting
		Proof of Publication
Rate Information	(Company) Notice of Rate (Increase, Decrease, Change)	Customer Notice of Rate Change
		Allamakee - Notice of Rate Increase
		Fenton Cooperative Telephone Rate Increase
Refund Plans	Refund Plan (Description)	Refund Plan for 2009
Registration	(Company) (Year) Telecommunications Service Provider Registration	Telecommunications Service Provider Registration
		Cox IA Telcom, LLC - 2009 Telecommunications Service Provider Registration
		Withdrawal of Registration and Tariff
Rehearing Requests -- Appeals	Application for Rehearing	Application for Rehearing
		Application for Rehearing of XYZ Telephone Company, LLC
Reports (See below for examples of report types)	(Company) (Industry) Moratorium Report (Month Year)	02-09 Moratorium Report

		Black Hills Moratorium Report - December 2008
		Electric Moratorium Report - August 2009
		Gas Moratorium Report - August 2009
		2008 Reliability Plan Attachment
Annual Report -- Alternate Energy Production	(Company) (Year) Alternate Energy Production Annual Report	Alternate Energy Production Report - Public
Annual Report – Energy Efficiency	Energy Efficiency Annual Report (Year)	EET-2003-0001 -- 2008 Annual Report
Annual Report - Gas Distribution System	Annual Report - Gas Distribution System (Year)	Annual Report - Gas Distribution System
Annual Report – Revenues, etc	(Company) (Year) (Utility Type) Annual Report (Revision (Number))	1-800-RECONEX, Inc. 2008 Telephone Annual Report
		Alta Municipal Utilities 2008 Municipal Electric Annual Report
		Alta Municipal Utilities 2008 Municipal Electric Annual Report Revision 1
		Atmos Energy Corporation 2008 Rate Regulated Gas Annual Report
		Atmos Energy Corporation 2008 Rate Regulated Gas Annual Report FERC Form 2
		Bedford Municipal Gas Utility 2008 Municipal Gas Annual Report Revision 1
		MidAmerican Energy Company 2008 Rate Regulated Electric Annual Report
		Cargill Incorporated 2008 Certified Natural Gas Providers Annual Report
		Access Energy Cooperative 2008 Electric Cooperative Annual Report
Compliance Report	Compliance Report (Company) (Description)	Compliance Report of ITC Midwest LLC
Construction Report	(Company) (Industry) Construction Report	Gas Construction Report
Cost Allocation Manual	(Company) Cost Allocation Manual (Date)	Cost Allocation Manual-September 1, 2009
Customer Contribution Fund	(Year) Customer Contribution Fund (Company)	2009 Customer Contribution Fund
		2009 Customer Contribution Fund Keosauqua Municipal
Electric Cooperative EAC	(Company) Electric Cooperative EAC (Date)	EAC January 2009

Electric Reliability Report	(Year) Electric Reliability Report (Description)	2008 Franklin REC Reliability Report
Field Inspection Report	Field Inspection Report (Date)	Field Inspection Report
Financial Reports	(Year) (Company) SEC Form 10-K	2008 Alliant Energy SEC Form 10-K
	(Year) (Company) Stockholders Annual Report	Black Hills/Iowa Gas Utility Company, LLC d/b/a Black Hills Energy 2008 Stockholders Annual Report
Flexible Rates Annual Report	Flexible Rates Annual Report (Year)	Flexible Rates Annual Report 2008 - PUBLIC
Gas Inspection Report	Gas Inspection Report	Gas Inspection Report
Incident Reports	(Description) Incident Report (Date)	Cervantes Electric Contact Incident 9-22-2009
		Electrical Contact - No Injuries - No Property Damage: Claim Form-Filed 8-24-2009
		April 8, 2009 Field Inspection: Corrective actions taken
Inspection Reports	(Location or Circuit) (Industry Type) Inspection Report (Date)	CIRCE344 Electric Inspection Report
		Completion Report (Circuit 4022)
		Completion Report for Field Inspections
Line Inspection Plan	Line Inspection Plan (Date)	Line Inspection Plan 2009
Natural Gas Consumption Report	(Date) (Company) Natural Gas Consumption Report	August 2009 IA Natural Gas Consumption Report
Natural Gas Flexible Pricing Activities Report	Natural Gas Flexible Pricing Activities Report	Natural Gas Flexible Pricing Activities Report
Nuclear Plant Decommissioning Report	Nuclear Plant Decommissioning Report (Company) (Description)	Nuclear Plant Decommissioning Report -- MidAmerican Energy Attachment A
Outage Reports	(Major) Outage Events (Location) (Date)	Gas Outage Report - Ames, IA
		Major Outage Events: Brighton, Richland, Wayland, Surrounding Areas - 69kV - 12-24-2008
Progress Report	(Description) Progress Report	Crane Creek Wind Project Progress Report
Quality of Service Report	Quality of Service Report	199 IAC 39 Quality of Service Report
		2008 HMU - ETC Quality of Service
Reliability Plan	Reliability Plan	Reliability Plan
Response to Deficiency Letter	(Description) Response to Deficiency Letter	Amendment No. 4 Responses to Third Deficiency Letter
Seasonal Consumption Report	(Date) Seasonal Consumption Report	January 2009 Seasonal Consumption Report

Universal Service Fund	(Company) (Year) Universal Service Fund	Andrew Telephone Company Universal Service Filing
		Universal Service Fund Certification of Ellsworth Cooperative Telephone Association
Responses to Board Orders	(Company) (Description)	Affidavit in Response to Board
		Civil Penalty Payment
		Letter Responding to Board Order Re: NOI-2009-0002
		Joint Report with Revised Filing (Formulas)
		MidAmerican Energy - Response to Order
		MidAmerican Energy - TF-2009-0061 Compliance Report
		Notice of Intent to Participate in Workshop
		Report Concerning Incentive Rate Contracts
		Report in Response to Board Order
		Response to Order Cancelling Hearing
		Status Report
Statements of Position, Comments		Additional Comments
		Comments
		ITC Midwest's Statement of Position in RMU-2009-0001
		Letter in Lieu of Comments
		Reply Comments
		Statement of Position
Stipulation		Stipulation
		Joint Stipulation of Facts
Supporting Data, Data Request Information	(Description) (Data Request Number or Date)	Supporting Data in Response to Board Request of November 4, 2009
	(Company) (Description) (Page Numbers) (Topic) (Revised) (Clean or Redline) (Date Revised)	2009-0055 Section 26, Pg 77-80
Tariff		Access Energy Cooperative Tariff Section 27.42 Co-generation Prices

		Access Services Tariff
		Adding Language for Direct Trunk Overflow and the Table of Contents
		AEP Tariff Page 83 Clean Copy of Revision
		Allamakee-Clayton Electric Cooperative, Inc. - Collection Tariff Update - Revised 10-14-09
		Bandwidth.com - Access Tariff Page 49
		Butler County - Section 47 Rate Schedule DS1
		Central Scott Telephone Company - Tariff Revision
		Changes to Tariff Sheet 30
		Corrected First Revised Tariff Sheet No. 52
		Corrected Pages 20-21
		CS Technologies - Revised Tariff Sheets - Redline
		East-Central REC - Tariff Section 9, Page 41 Wiring Standards
		Electric Energy Efficiency Cost Recovery-Schedules 2nd Revised (Formulas)
		Energy Cost Adjustment March 2009 - PUBLIC
		Gas Tariff - Rider GFR (Revision)
		Grundy County REC Tariff Pages Revisions
		Interim Tariff Sheet No. 4 (Revised)
		ITA - Third Revised Page 3 Filed 5-29-09
		Linn County - Strikethrough Copy of Page 84
		MEC July 2009 PGA Tariff Sheet Nos. E-20, W-4
		MEC Tariffs 1, 1.10, 1.30, 1.40 REV
Testimony	(Witness Name) (Type) Testimony (Topic)	Jones Rebuttal Testimony
		Abbott Surrebuttal Testimony
		Fox Corrected Direct Testimony - Public
Transcripts	Transcript (Description) (Hearing Date)	Transcript and Exhibits from Hearing Held February 3, 2009
		Transcript for Consumer Comment Meeting Held, Thursday, May 14, 2009, in Nevada, Iowa
		Transcript Volume I, from Hearing Held, Thursday, February 5, 2009

Workpapers	(Witness Name) (Company) Workpapers (Description) (Topic)	Atmos Energy's Annual PGA Filing Backup Workpapers REVISED PUBLIC
		Gorman Workpapers MPG Exhibit 18 Spreadsheet
		IPL - Accounting Files_Workpaper B-47 Wholesale Ratebase Spreadsheet
		MEC AEP Workpapers 2.11 - 2.15
		Normalized Sales Volumes June 08 thru May 09
		Parker Rebuttal Workpaper SJP-2 Sch A (support)
		Fox Workpaper JJF-2.1.1
		Hampsher Workpapers - Accounting - Pro Forma Adjustments Rate Base

Attachment A: Document Types

Document types are largely based on filing practices from the IUB Records Center in the paper environment. The current document types are:

Accounting Information	Motions, Applications, Pleadings
Amendments	Objections
Appearances	Operating Permits
Briefs	Orders, Board
Compliances with Board Rules	Proofs of Notification
Construction Permits	Rate Information
Construction Report	Refund Plans
Correspondence	Registration
Customer Complaints	Rehearing Requests -- Appeals
Evidence	Reports
Exhibits	Responses to Board Orders
Exhibits, Hearing	Statements of Position, Comments
Informational Meeting	Stipulation
Initiating Filing or Document	Supporting Data, Data Request
Inspection Report	Information
Interrogatories	Tariff
Interventions	Testimony
Maps	Transcripts
Memos	Workpapers.
Miscellaneous Permits	

Attachment B – Screen Prints

Document Search Results in UCM Where the Document Title Begins with the Number 1.

Iowa Utilities Board Content Server

Home Search New Check In Logout Help

My Content Server Administration

Search Results Items 21-40 of 296

Change View Query Actions

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Content ID	Document Title	Viewable Status	Date Filed	Actions
011934	106 Williams Workpapers - .pdf file Labor & Labor Related Towers Perrin 2009 Pension Summary INTERIM	Internal	4/30/2009 7:01 PM	
011937	107 Williams Workpapers - .pdf file Labor & Labor Related HR Benefit Guide INTERIM	Internal	4/30/2009 7:01 PM	
012354	107 Williams Workpapers - .pdf file Labor & Labor Related HR Benefit Guide INTERIM	External	4/30/2009 7:01 PM	
011891	108 Williams Workpapers - .pdf file Income Tax INTERIM	Internal	4/30/2009 7:01 PM	
012357	108 Williams Workpapers - .pdf file Income Tax INTERIM	External	4/30/2009 7:01 PM	
012358	109 Williams Workpapers - Excel file Income Tax Int_Case INTERIM	External	4/30/2009 7:01 PM	
011939	109 Williams Workpapers - Excel file Income Tax Int_Case INTERIM	Internal	4/30/2009 7:01 PM	
023141	10C-290 Field Inspection	Internal	9/22/2009 10:58 AM	
023148	10C-290 Field Inspection	External	9/22/2009 10:58 AM	
012241	11. Jones Exhibit __ [CWJ-4] Middle Road Booster Improvements	External	4/30/2009 3:36 PM	
011631	11. Jones Exhibit __ [CWJ-4] Middle Road Booster Improvements	Internal	4/30/2009 3:36 PM	
012362	110 Williams Workpapers - Excel file Income Tax INTERIM_Case INTERIM	External	4/30/2009 7:01 PM	
011941	110 Williams Workpapers - Excel file Income Tax INTERIM_Case INTERIM	Internal	4/30/2009 7:01 PM	
012365	111Williams Workpapers - Excel file Income Tax IA_COS INTERIM	External	4/30/2009 7:01 PM	
011943	111Williams Workpapers - Excel file Income Tax IA_COS INTERIM	Internal	4/30/2009 7:01 PM	
011947	112 Williams Workpapers - Excel file Income Tax IA American Rate Base 2008 Final INTERIM	Internal	4/30/2009 7:01 PM	
012366	112 Williams Workpapers - Excel file Income Tax IA American Rate Base 2008 Final INTERIM	External	4/30/2009 7:01 PM	
011949	113 Williams Workpapers - Excel file Income Tax IA 2008 Federal & State Deprec Prov INTERIM	Internal	4/30/2009 7:01 PM	
012369	113 Williams Workpapers - Excel file Income Tax IA 2008 Federal & State Deprec Prov INTERIM	External	4/30/2009 7:01 PM	
011892	114 Petry Workpapers - .pdf file Postage INTERIM	Internal	4/30/2009 7:01 PM	

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Attachment B – Screen Prints

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Docket Number	Document Title	Date Filed	Document Type	Submitter Name
View Save Summary T-3510	1-800-RECONEX Inc CLEC Tariff Book Filing Title: 1-800-RECONEX, Inc. CLEC Tariff Book Submitting Parties: 1-800-RECONEX, Inc.	01/27/2009	Tariff	Iowa Utilities Board
View Save Summary T-3510	1-800-RECONEX Inc d/b/a USTel CLEC Tariff Book Filing Title: U.S.Tel (1-800-RECONEX Inc d/b/a USTel) Tariff Book Submitting Parties: 1-800-RECONEX, Inc.	01/27/2009	Tariff	Iowa Utilities Board
View Save Summary A-2008-3510	1-800-RECONEX, Inc. 2008 Telephone Annual Report Filing Title: 2008 Telephone Annual Report Submitting Parties: 1-800-RECONEX, Inc.	03/31/2009	Reports	Jennifer Sikes
View Save Summary RPU-2009-0004	10. Jones Exhibit __ [CWJ-3] Liberty Avenue Phase 2 Filing Title: Iowa American Water Application for Revision of Rates (1 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Exhibits	John Reichart
View Save Summary RPU-2009-0004	100 Williams Workpapers - Excel file Revenue-Other Revenue INTERIM Filing Title: Iowa American Water Application for Revision of Rates (9 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Workpapers	Don Petry
View Save Summary RPU-2009-0004	101 Williams Workpapers - Excel file IA_COS INTERIM Filing Title: Iowa American Water Application for Revision of Rates (9 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Workpapers	Don Petry
View Save Summary RPU-2009-0004	102 Williams Workpapers - .pdf file Labor & Labor Related INTERIM Filing Title: Iowa American Water Application for Revision of Rates (9 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Workpapers	Don Petry
View Save Summary RPU-2009-0004	103 Williams Workpapers - Excel file Labor & Labor Related 2009 IA Payrol Calc Public INTERIM Filing Title: Iowa American Water Application for Revision of Rates (9 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Workpapers	Don Petry
View Save Summary RPU-2009-0004	105 Williams Workpapers - .pdf file Labor & Labor Related Retiree Welfare 2009 INTERIM Filing Title: Iowa American Water Application for Revision of Rates (9 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Workpapers	Don Petry
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View Save Summary RPU-2009-0004	108 Williams Workpapers - .pdf file Income Tax INTERIM Filing Title: Iowa American Water Application for Revision of Rates (9 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Workpapers	Don Petry
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View Save Summary R-0156	10C-290 Field Inspection Filing Title: Electrical Inspection Report Submitting Parties: Iowa Utilities Board, MidAmerican Energy Company	09/22/2009	Inspection Reports	Iowa Utilities Board
View Save Summary RPU-2009-0004	11. Jones Exhibit __ [CWJ-4] Middle Road Booster Improvements Filing Title: Iowa American Water Application for Revision of Rates (1 of 14) Submitting Parties: Iowa American Water Company (Clinton), Iowa American Water Company (Quad Cities)	04/30/2009	Exhibits	John Reichart
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